



Commissioner for Patents  
Washington, DC 20231  
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Bib Data Sheet

CONFIRMATION NO. 7398

SERIAL NUMBER 09/940,509	FILING DATE 08/27/2001 RULE	CLASS 705	GROUP ART UNIT 3624	ATTORNEY DOCKET NO. 40655.5800
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**APPLICANTS**

Doug Dunning, Apple Valley, MN;  
Ron Bristol, Hammond, WI;  
Georgann G. Burns, Minneapolis, MN;  
Pan Hall, Edina, MN;  
Douglas Hoover, Ham Lake, MN;  
Terry McEvoy, Roseville, MN;  
Bruce Kohn, Edina, MN;

**\*\* CONTINUING DATA \*\*\*\*\***

This appln claims benefit of 60/228,366 08/28/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 10/02/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	MN	3	76	4
Verified and Acknowledged	Examiner's Signature	Initials			

**ADDRESS**

20322

**TITLE**

System and method for creating and administering an investment instrument

FILING FEE RECEIVED 1928	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

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Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY MN	SHEETS DRAWING 3	TOTAL CLAIMS 76	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials			

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